| | | PT: | DISB/08A | (10.96 |
|-----------------------|---------|-----------|----------|--------|
| Approved for use | through | 10/31/99. | OMB 065 | 1-0031 |
| and Tradomark Office: | 11.5 00 | PARTMEN | T OF COM | MERCE |

| Under the Pap | erwork Reduction Ac | t of 1995 | , no persons are | required to respond to a collection of Information unless it | contains a valid OMB control number | | | |
|---------------|---------------------|-----------|------------------|--|-------------------------------------|-------------------|--|--|
| | Substitut | e for | form 144 | 9A/PTO | Con | Complete if Known | | |
| | | TA | TEME | TION DISCLOSURE NT BY APPLICANT ny sheets as necessary) | Application Number | 10/561,829 | | |
| | | | | | I.A. Filing Date | June 25, 2004 | | |
| | | | | | First Named Inventor | Shaharyar Khan | | |
| | | | | | Group Art Unit | 1636 | | |
| | | | | | Examiner Name | Kimberly A. Makar | | |
| Sheet | 1 | - | of | 2 | Attorney Docket Number | GNC 0001 | | |

| | U.S. PATENT DOCUMENTS | | | | | | | |
|-----------------------|-----------------------|---|-------------------------------|--|-----------------------------------|--|--|--|
| Examiner Initials* | Cite No.¹ | US Patent Doc | ument | Name of Patentee or Applicant of Cited Document | Date of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | | |
| | | | d Code ² known) | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | *************************************** | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | ļ | | | | | | | |

| | | | | l | FOREIGN PATENT DOCUMENT | rs | | |
|-----------------------|--------------|-------------------------|-------------|--|--|---|----------------|--|
| Examiner Initials* | Cite No.¹ | Foreign Patent Document | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ | |
| | | Office.3 | Number⁴ | Kind Code ⁵ (if known) | | | | |
| | | PCT | W0 97/27742 | | Paik | 08-07-1997 | | |
| 7 | | | | | | | | |
| | ļ | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | 1 | | | | | | | |

| Examiner's | Date | |
|------------|------------|--|
| Signature | Considered | |

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SENT TO: Assistant Commission for Patent, Washington, DC 20231.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.

¹ Unique citation designation number ² See attached Kinds of U.S. Patent Documents. ⁸ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant to place a check mark here if English language Translation is attached.

| Please type a p | dus sign (+) | inside this b | my> |
|-----------------|--------------|---------------|-----|
| | | | |

Sheet

| P1 | (10-96 O/SB/08A) |
|--|------------------|
| Approved for use through 10/31/99. | OMB 0651-0031 |
| Detect and Trademork Office, H.C. OCBADTESCS | IT OF PALEMEDOE |

GNC 0001

| the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it Substitute for form 1449A/PTO | Complete if Known | | |
|---|----------------------|-------------------|--|
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) | Application Number | 10/561,829 | |
| | I.A. Filing Date | June 25, 2004 | |
| | First Named Inventor | Shaharyar Khan | |
| | Group Art Unit | 1636 | |
| | Examiner Name | Kimberly A. Makar | |

Attorney Docket Number

| | | OTHER ART NON PATENT LITERATURE DOCUMENTS | |
|------------------------|--------------------------|--|----|
| xaminer's Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T2 |
| | | LUO and SALTZMAN, "Synthetic DNA delivery systems", <i>Nat. Biotechnol.</i> , 18(1):33-7 (2000). | |
| | | STEPHENS and PEPPERKOK, "The many ways to cross the plasma membrane", Proc. Natl. Acad. Sci. U.S.A., 98(8):4295-8 (2001). | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| **************** | | | |
| | | | |
| | | | |

| Examiner's | Date | |
|------------|------------|--|
| Signature | Considered | |
| | | |

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SENT TO: Assistant Commission for Patent, Washington, DC 20231.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.

¹ Unique citation designation number ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant to place a check mark here if English language Translation is attached.